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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/805,702	03/22/2004	Steven J. Winick	H0006502-0555 (17268)	8726
128 7590 07/13/2007 HONEYWELL INTERNATIONAL INC. 101 COLUMBIA ROAD P O BOX 2245 MORRISTOWN, NJ 07962-2245			EXAMINER YOUNG, NICOLE M	
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Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

Office Action Summary

Application No.

10/805,702

Applicant(s)

WINICK, STEVEN J.

Examiner

Nicole M. Young

Art Unit

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-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

Status

- 1) ☒ Responsive to communication(s) filed on 22 March 2004.
- 2a) ☐ This action is FINAL. 2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

Disposition of Claims

- 4) ☒ Claim(s) 1-34 is/are pending in the application.
- 4a) Of the above claim(s) _____ is/are withdrawn from consideration.
- 5) ☐ Claim(s) _____ is/are allowed.
- 6) ☒ Claim(s) 1-34 is/are rejected.
- 7) ☐ Claim(s) _____ is/are objected to.
- 8) ☐ Claim(s) _____ are subject to restriction and/or election requirement.

Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☒ The drawing(s) filed on 10 March 2006 is/are: a) ☒ accepted or b) ☐ objected to by the Examiner.
- Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
- Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

Priority under 35 U.S.C. § 119

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some * c) ☐ None of:
- ☐ Certified copies of the priority documents have been received.
 - ☐ Certified copies of the priority documents have been received in Application No. _____.
 - ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

* See the attached detailed Office action for a list of the certified copies not received.

Attachment(s)

- ☒ Notice of References Cited (PTO-892)
- ☐ Notice of Draftsperson's Patent Drawing Review (PTO-948)
- ☒ Information Disclosure Statement(s) (PTO/SB/08)
Paper No(s)/Mail Date 3/22/2004.
- ☐ Interview Summary (PTO-413)
Paper No(s)/Mail Date. _____.
- ☐ Notice of Informal Patent Application
- ☐ Other: _____.

DETAILED ACTION

This office action is in response to the claims filed on March 22, 2004. Claims 1-34 have been examined and are pending.

Claim Rejections - 35 USC § 102

The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless –

(e) the invention was described in (1) an application for patent, published under section 122(b), by another filed in the United States before the invention by the applicant for patent or (2) a patent granted on an application for patent by another filed in the United States before the invention by the applicant for patent, except that an international application filed under the treaty defined in section 351(a) shall have the effects for purposes of this subsection of an application filed in the United States only if the international application designated the United States and was published under Article 21(2) of such treaty in the English language.

Claims 1 and 3-6 are rejected under 35 U.S.C. 102(e) as being anticipated by **Anderson et al. (US 2002/0091824)** herein referred to as Anderson.

Claim 1 discloses an electronic device in a local area network, comprising:

a network interface that communicates with a connection point of the local area network, and that receives a polling signal from a security system in the local area network via the connection point (Figure 4 wherein the Network Switch or Hub 408 is the network interface, the Reporting and Maintenance System (RMS) 400 is the connection point and the Superintendent System 410 is the security system; Paragraph [0073] teaches the use of polling to return status information and Paragraph [0074] teaches the RMS polling); and

a control that causes the network interface to communicate a response to the security system via the connection point in response to receipt of the polling signal (Paragraph [0074] teaches the RMS sending the return messages to the superintendent system. Paragraph [0017] teaches the RMS "is provided that acts as an... Internet").

Claim 3 discloses the electronic device of claim 1, wherein:

the network interface communicates, via the connection point, with a remote server that provides services for the electronic device (Paragraph 17 teaches "remotely managing multiple enterprises from a central location").

Claim 4 discloses the electronic device of claim 3, wherein:

the services include at least one of downloading software to the electronic device, performing remote programming of the electronic device, and uploading diagnostic data from the electronic device (Paragraph [0058] teaches remotely configuring door locks which is interpreted as performing remote programming. Paragraph [0073] teaches status request polling and paragraph [0074] teaches globally managed devices this is interpreted as uploading diagnostic data from the electronic device).

Claim 5 discloses the electronic device of claim 1, wherein:

the connection point comprises at least one of a hub and a gateway (Figure 4 shows the RMS comprises of both a network switch or hub and a gateway).

Claim 6 discloses the electronic device of claim 1, wherein:

the network interface receives software from the security system via the connection point for configuring the electronic device as a sensor of the security system (Paragraph [0058] teaches a temperature sensor and a door lock sensor).

Claim Rejections - 35 USC § 102

Claims 21-24, 26, and 28-33 are rejected under 35 U.S.C. 102(e) as being anticipated by **Nagel et al (US 7,181,017)**.

Claim 21 discloses an electronic device in a local area network, comprising:

a network interface that communicates with a connection point of the local area network; and

a control that causes the network interface to transmit a message, via the connection point, to a remote server;

wherein the message includes an address and an identifier associated with the electronic device (Figure 2 and associated text shows a user communicating through a intermediary (connection point) to a data repository (remote server) the identifier is the user private key and the address is described as either the logical address or the physical address as in column 8 lines 27-35).

Claim 22 discloses the electronic device of claim 21, wherein:

the remote server determines whether the address is consistent with the identifier (Figure 2 and associated text shows and teaches an authentication database in the intermediary to authenticate the user).

Claim 23 discloses the electronic device of claim 21, wherein:

the address comprises at least a portion of an Internet Protocol address (The address is described as either the logical address or the physical address as in column 8 lines 27-35, the logical address is the IP address).

Claim 24 discloses the electronic device of claim 21, wherein:

the identifier comprises a serial number (Column 16 lines the address is described as either the logical address or the physical address as in column 8 lines 27-35 teach a serial number).

Claim 26 discloses the electronic device of claim 21, wherein:

the control causes the network interface to communicate the message to the remote server as an encrypted message using an encryption code that is unique to the electronic device (in Figure 4 and associated text, column 26 lines 32-55. Nagel teaches the user receiving the public key from the intermediary and then encrypting the plaintext message with the users unique private key and the public key).

Claim 28 discloses a security system server, comprising:

a network interface that receives a message that includes an address and an identifier associated with an electronic device;

wherein the electronic device is provided in a local area network (Figure 2 and associated text shows a user communicating through a intermediary (connection point) to a data repository (remote server) the identifier is the user private key and the address is described as either the logical address or the physical address as in column 8 lines 27-35); and

means for determining whether the address is consistent with the identifier (Figure 2 and associated text shows and teaches an authentication database in the intermediary to authenticate the user).

Claim 29 discloses the security system server of claim 28, wherein:

the message is received from the electronic device (Figure 2 and associated text shows a user communicating through a intermediary (connection point) to a data repository (remote server) the identifier is the user private key and the address is described as either the logical address or the physical address as in column 8 lines 27-35).

Claim 30 discloses the security system server of claim 28, wherein:

the message is received from a server that provides services for the electronic device (Figure 2 and associated text shows a user communicating through a intermediary (connection point) to a data repository (remote server) the identifier is the user private key and the address is described as either the logical address or the physical address as in column 8 lines 27-35. The server provides authentication services for the electronic device as shown).

Claim 31 discloses the security system server of claim 28, wherein:

the address comprises at least a portion of an Internet Protocol address (The address is described as either the logical address or the physical address as in column 8 lines 27-35, the logical address is the IP address).

Claim 32 discloses the security system server of claim 28, wherein:

the identifier comprises a serial number (Column 16 lines the address is described as either the logical address or the physical address as in column 8 lines 27-35 teach a serial number).

Claim 33 discloses the security system server of claim 28, wherein:

the message is received as an encrypted message using an encryption code that is unique to the electronic device (in Figure 4 and associated text, column 26 lines 32-55. Nagel teaches the user receiving the public key from the intermediary and then encrypting the plaintext message with the users unique private key and the public key).

Claim Rejections - 35 USC § 103

The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

Claims 2 and 8 are rejected under 35 U.S.C. 103(a) as being unpatentable over **Anderson et al. (US 2002/0091824)** herein referred to as Anderson, and further in view of **Nagel et al. (US 7,181,017)** herein referred to as Nagel.

Claim 2 discloses the electronic device of claim 1, wherein:

Anderson teaches the network interface communicates with at least one other electronic device in the local area network via the connection point, Anderson does not teach but Nagel teaches to transfer entertainment content in column 15 lines 47-52. It would be obvious to someone of ordinary skill in the art at the time of invention to send

entertainment content from the Internet through the intermediary. Anderson Figure 1 shows the network devices 102, 104, 06, and 108 connected through the intermediary, 110, to the Internet. The motivation to combine the entertainment content transfer as in Nagel would be in Nagel column 16 lines 63-67 and column 16 lines 1-12 where it teaches that the third party is used to cut down on network traffic, for payment options, and better encryption of the entertainment content.

Claim 8 discloses the electronic device of claim 1, wherein:

Anderson teaches claim 1. However, Anderson does not teach but Nagel teaches the control causes the network interface to communicate the response to the security system as an encrypted message using an encryption code that is unique to the electronic device in Figure 4 and associated text, column 26 lines 32-55. Nagel teaches the user receiving the public key from the intermediary and then encrypting the plaintext message with the users unique private key and the public key. It would be obvious to one of ordinary skill in the art at the time of invention to add encryption security to the communications between the security system and the end device. The motivation is stated in Nagel column 5 lines 27-37.

Claim Rejections - 35 USC § 103

Claims 7, 10, and 12-17 are rejected under 35 U.S.C. 103(a) as being unpatentable over **Anderson et al. (US 2002/0091824)**, and further in view of **Davies (2004/0024869)**.

Claim 7 discloses the electronic device of claim 1, wherein:

Anderson teaches all limitations in claim 1. However, Anderson does not teach but Davies teaches the security system sets an alarm if it does not receive the response from the network interface after sending the polling signal to the network interface in paragraphs [0012] and [0013]. It would be obvious to one of ordinary skill in the art at the time of invention to set an alarm if the device does not return a response to the polling signal. The motivation is in the first few lines of Davies paragraph [0012], which teaches that the poller alerts the server if it suspects the interface is failing. In paragraph [0010] teaches that the server sends alerts to all clients to inform them of the failed client, this would be another motivation.

Claim 10 discloses a security system, comprising:

Anderson teaches a network interface that communicates with a connection point of a local area network; and a control that causes the network interface to transmit a polling signal to an electronic device in the local area network via the connection point in Figure 4 wherein the Network Switch or Hub 408 is the network interface, the Reporting and Maintenance System (RMS) 400 is the connection point and the Superintendent System 410 is the security system; Paragraph [0073] teaches the use of polling to return status information and Paragraph [0074] teaches the RMS polling.;

Anderson does not teach but Davies teaches wherein the control sets an alarm if a response to the polling signal is not received from the electronic device in paragraphs [0012] and [0013]. It would be obvious to one of ordinary skill in the art at the time of invention to set an alarm if the device does not return a response to the polling signal. The motivation is in the first few lines of Davies paragraph [0012], which teaches that

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the poller alerts the server if it suspects the interface is failing. In paragraph [0010] teaches that the server sends alerts to all clients to inform them of the failed client, this would be another motivation.

Claim 12 discloses the security system of claim 10, wherein:

the network interface communicates, via the connection point, with a remote server that provides services for the security system (Anderson paragraph 17 teaches "remotely managing multiple enterprises from a central location").

Claim 13 discloses the security system of claim 12, wherein:

when the alarm is set, the network interface communicates a message to the remote server indicating that the alarm has been set (Davies paragraph [0012], "The poller sends...one interface is failing").

Claim 14 discloses the security system of claim 13, wherein:

the message comprises an identifier of the electronic device (Davies paragraphs [0012], when the poller sends the notification of the interface failing it sends an id of the failing device).

Claim 15 discloses the security system of claim 13, wherein:

the message comprises at least a portion of an Internet Protocol address associated with the electronic device (Davies paragraph 37 teaches that the message comprises of at least the Internet Protocol address. Paragraph [0042] shows the message format which includes "IP Address").

Claim 16 discloses the security system of claim 10, wherein:

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the connection point comprises at least one of a hub and a gateway (Anderson Figure 4 shows the RMS comprises of both a network switch or hub and a gateway).

Claim 17 discloses the security system of claim 10, wherein:

the network interface transmits software to the electronic device via the connection point to configure the electronic device as a sensor of the security system (Anderson paragraph [0058] teaches a temperature sensor and a door lock sensor).

Claim Rejections - 35 USC § 103

Claim 9 is rejected under 35 U.S.C. 103(a) as being unpatentable over **Anderson et al. (US 2002/0091824)**, and further in view of **Harkins (US 6,038,322)**.

Claim 9 discloses the electronic device of claim 1, wherein:

Anderson teaches claim 1. However, Anderson does not teach, but Harkins teaches the control causes the network interface to communicate the response to the security system as an encrypted message using an encryption code that is unique for a specified group of electronic devices in column 2 lines 16-41 wherein group members share a group encryption key. It would be obvious to one of ordinary skill in the art at the time of invention to add group encryption to a message. The motivation would be in Harkins column 1 lines 10-15 where it states cryptography is used to secure messages traveling over public transportation. Harkins column 1 lines 25-36 teach an advantage to using group common secret keys.

Claim Rejections - 35 USC § 103

Claims 11 and 19 are rejected under 35 U.S.C. 103(a) as being unpatentable over **Anderson et al. (US 2002/0091824)**, and of **Davies (2004/0024869)** as applied above, and further in view of **Nagel et al. (US 7,181,017)** herein referred to as Nagel.

Claim 11 discloses the security system of claim 10, wherein:

Anderson and Davies teach the limitations of claim 10 as above. They do not teach but Nagel teaches, the electronic device communicates with at least one other electronic device in the local area network via the connection point to transfer entertainment content in column 15 lines 47-52. It would be obvious to someone of ordinary skill in the art at the time of invention to send entertainment content from the Internet through the intermediary. Anderson Figure 1 shows the network devices 102, 104, 06, and 108 connected through the intermediary, 110, to the Internet. The motivation to combine Anderson and Davies with the entertainment content transfer as in Nagel would be in Nagel column 16 lines 63-67 and column 16 lines 1-12 where it teaches that the third party is used to cut down on network traffic, for payment options, and better encryption of the entertainment content.

Claim 19 discloses the security system of claim 10, wherein:

Anderson and Davies teach the limitations of claim 10 above. However, Anderson and Davies do not teach, but Nagel teaches the response to the polling signal is provided as an encrypted message using an encryption code that is unique to the electronic device in Figure 4 and associated text, column 26 lines 32-55. Nagel teaches

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the user receiving the public key from the intermediary and then encrypting the plaintext message with the users unique private key and the public key. It would be obvious to one of ordinary skill in the art at the time of invention to add encryption security to the communications between the security system and the end device in Anderson and Davies. The motivation is stated in Nagel column 5 lines 27-37.

Claim Rejections - 35 USC § 103

Claim 18 is rejected under 35 U.S.C. 103(a) as being unpatentable over **Anderson et al. (US 2002/0091824)**, and of **Davies (2004/0024869)** as applied above, and further in view of **Stilp (US 2004/0212493)**.

Claim 18 discloses the security system of claim 10, further comprising:

Anderson and Davies do not teach but Stilp teaches a means for monitoring at least one sensor for detecting intrusion in a building in paragraph [0019]. Stilp teaches RFID readers and RFID transponders that are "capable of causing an alert in the event of intrusion" in a building. The RFID is interpreted by the Examiner to be the sensor and the RFID transponder is interpreted to be the means for monitoring the sensor. It would be obvious to one of ordinary skill in the art at the time of invention to use the system of Anderson with the alarm of Davies to monitor intrusion into the building as in Stilp. The motivation for combining Anderson and Davies is the same as above. The motivation for combining Anderson and Davies with Stilp is that Anderson paragraph [0058] teaches a temperature sensor and a door lock sensor and electronic locks on the door.

It would be obvious to then use the door lock sensor as the sensor in Stilp is used to alert if there is an intrusion in the building.

Claim Rejections - 35 USC § 103

Claim 20 is rejected under 35 U.S.C. 103(a) as being unpatentable over **Anderson et al. (US 2002/0091824)**, and of **Davies (2004/0024869)** as applied above, and further in view of **Harkins (US 6,038,322)**.

Claim 20 discloses the security system of claim 10, wherein:

Anderson and Davies teach the limitations of claim 10 as above. Anderson and Davies do not teach, but Harkins teaches the response to the polling signal is provided as an encrypted message using an encryption code that is unique for a specified group of electronic devices in column 2 lines 16-41 wherein group members share a group encryption key. It would be obvious to one of ordinary skill in the art at the time of invention to add group encryption to a message. The motivation would be in Harkins column 1 lines 10-15 where it states cryptography is used to secure messages traveling over public transportation as are the messages in Anderson and Davies. Harkins column 1 lines 25-36 teach an advantage to using group common secret keys.

Claim Rejections - 35 USC § 103

Claim 25 is rejected under 35 U.S.C. 103(a) as being unpatentable over **Nagel et al (US 7,181,017)**, as applied above, and further in view of **Stilp (US 2004/0212493)**.

Claim 25 discloses the electronic device of claim 21, wherein:

the message is transmitted to the remote server using cryptographic data and an authentication protocol that are also used by a security system that communicates with the remote server via the connection point to report an intrusion in a building Stilp teaches a means for monitoring at least one sensor for detecting intrusion in a building in paragraph [0019]. Stilp teaches RFID readers and RFID transponders that are "capable of causing an alert in the event of intrusion" in a building. The RFID is interpreted by the Examiner to be the sensor and the RFID transponder is interpreted to be the means for monitoring the sensor. It would be obvious to one of ordinary skill in the art at the time of invention to use the system of Nagel to monitor intrusion into the building as in Stilp. The motivation for combining Nagel with Stilp is that Anderson paragraph [0058] teaches a temperature sensor and a door lock sensor and electronic locks on the door. It would be obvious to then use the door lock sensor as the sensor in Stilp is used to alert if there is an intrusion in the building. The alarm system is taught in Stilp paragraph [0021].

Claim Rejections - 35 USC § 103

Claims 27 and 34 are rejected under 35 U.S.C. 103(a) as being unpatentable over **Nagel et al (US 7,181,017)** as applied above, and further in view of **Harkins (US 6,038,322)**.

Claim 27 discloses the electronic device of claim 21, wherein:

Nagel teaches the limitations in claim 21 above. Nagel does not teach, but Harkins teaches the control causes the network interface to communicate the message

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to the remote server as an encrypted message using an encryption code that is unique for a specified group of electronic devices. Harkins teaches the control causes the network interface to communicate the response to the security system as an encrypted message using an encryption code that is unique for a specified group of electronic devices in column 2 lines 16-41 wherein group members share a group encryption key. It would be obvious to one of ordinary skill in the art at the time of invention to add group encryption to a message. The motivation would be in Harkins column 1 lines 10-15 where it states cryptography is used to secure messages traveling over public transportation as are the messages in Nagel. Harkins column 1 lines 25-36 teach an advantage to using group common secret keys.

Claim 34 discloses the security system server of claim 28, wherein:

Nagel teaches the limitations of claim 28 as above. Nagel does not teach, but Harkins teaches the message is received as an encrypted message using an encryption code that is unique for a specified group of electronic devices. Harkins teaches the control causes the network interface to communicate the response to the security system as an encrypted message using an encryption code that is unique for a specified group of electronic devices in column 2 lines 16-41 wherein group members share a group encryption key. It would be obvious to one of ordinary skill in the art at the time of invention to add group encryption to a message. The motivation would be in Harkins column 1 lines 10-15 where it states cryptography is used to secure messages traveling over public transportation as are the messages in Nagel. Harkins column 1 lines 25-36 teach an advantage to using group common secret keys.

Note: Examiner has pointed out particular references contained in the prior arts of record and in the body of this action for the convenience of the applicant. Although the specified citations are representative of the teachings in the art and are applied to the specific limitations within the individual claim, other passages and figures may apply as well. Applicant should consider the entire prior art as applicable to the limitations of the claims. It is respectfully requested from the applicant, in preparing for response, to consider fully the entire reference as potentially teaching all or part of the claimed invention, as well as the context of the passage as taught by the prior arts or disclosed by the Examiner.

Conclusion

The prior art made of record and not relied upon is considered pertinent to applicant's disclosure.


Any inquiry concerning this communication or earlier communications from the examiner should be directed to Nicole M. Young whose telephone number is 571-270-1382. The examiner can normally be reached on Monday through Friday, alt Fri off, 8:00am-5:30pm.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Ayaz Sheikh can be reached on 571-272-3795. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

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Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

NMY
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